

Automatic Rollover (ARO) Processing

New Opportunities in Plan Efficiency and Employee Benefit



learn more at:

www.rolloversystems.com/solutions

Benefit Spotlight »

» **Advisor Benefits**

- Secure plan relationships
- Increase wealth management income
- Maximize time and money

» **Sponsor Benefits**

- Reduce plan costs
- Reduce exposure to fiduciary risk
- Increase participant retirement readiness

» **Plan Administrator Benefits**

- Operational cost savings
- Client retention and acquisition

» **Participant Benefits**

- Education about retirement choices
- Access to independent investment solutions from many top providers
- Full transaction and distribution support

Compare Market Providers »

		RSI	Other Rollover Providers	Bundled Plan Providers
Advisor Benefits	Helps Advisors expand wealth management practices	✓		
	Limit exposure to fiduciary risk	✓	✓	✓
	Primary business focus	✓		
Sponsor Benefits	Multiple types of Safe Harbor IRAs	✓		
	Reduce participant phone calls	✓	✓	✓
	Back office efficiencies	✓	✓	✓
	Missing participant services	✓		
Administrator Benefits	Operational cost savings	✓		
	All terminated participants receive assistance	✓	✓	
Participant Benefits	Low-fee, no minimum balance Safe Harbor IRA	✓	✓	
	Focus on small balance investors	✓	✓	

Your New Back Office Team »

» **RCAP—RolloverSystems Comprehensive Activation Program**

- Aggregated plan implementation for Advisors and Plan Administrators
- Customized benefit descriptions and pre-filled “sign here” service agreements mailed to Plan Sponsors on behalf of Advisors and Plan Administrators
- RSI conducts form processing, plan implementations, and follow-up

» **RolloverSystems participant outreach**

- Best practice educational material inviting your participants to take control of their retirement planning
- WealthConnect™ online retirement planning guide and toolkit

» **The Retirement Center**

- Licensed counseling center ready to service and guide terminated participants
- Open 8AM to 9PM eastern time, Monday through Friday
- Complete online transaction support 24/7

» **Full participant processing**

- SAS 70 certified distribution processing and privacy controls
- DOL compliant terminated plan and missing participant services

Next Steps »

» **Call the Advisor Rollover Desk:**

Plan Advisors can discuss program options with our Advisor Consultants toll-free at **866.827.9608**

» **Sponsors sign the automatic rollover service agreement:**

RolloverSystems provides pre-filled agreements to Sponsors through RCAP

» **Inform your participants:**

RolloverSystems provides a participant expectations sheet for use during an exit interview

call Toll Free: **866.201.3174**
or e-mail sales@rolloversystems.com